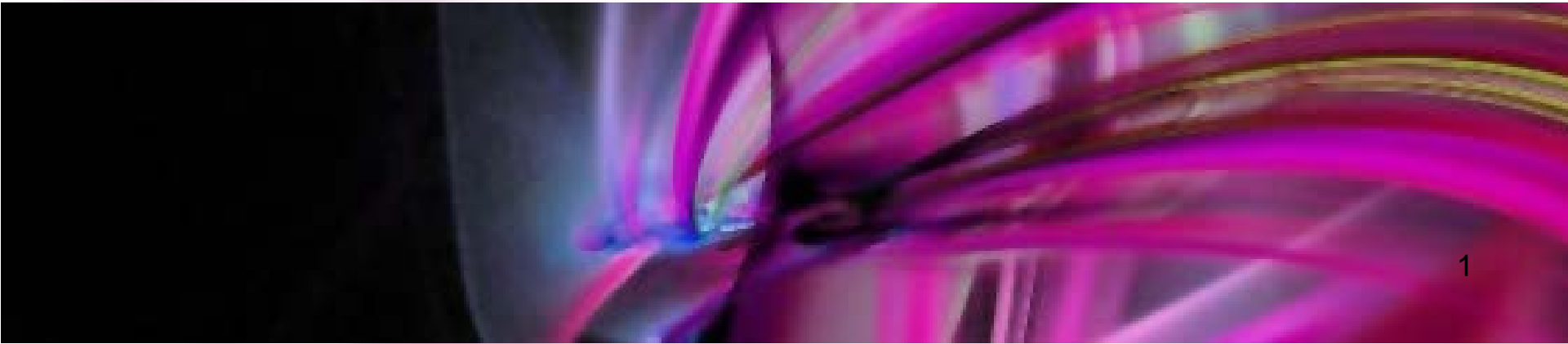


Robert Lawson
National Contact Point FP7 Space

5 September webinar

2013 Space Call in FP7; procedures



6th Call content - €126m – all collaboration projects

(1) GMES – (€59m)

- Climate change
- Downstream service stimulation
- Remote sensing methods
- Integration of satcomms and satnav with space-based observing systems

[Alongside €56m operational – “GIO”]

(2) Space Foundations (€51m)

- space science and exploration data
- Earth-analogue research
- Critical technologies
- reducing the vulnerability of assets
 - Space-weather
 - in-orbit collisions avoidance

(3) Cross-cutting (€16m)

- SME
- third countries

Timetable

- **Call publication:** 10 July 2012
- **Call closure / submission deadline:** 21 November 2012
at 17:00:00
- **Eligibility checks and allocation of experts to proposals:**
December 2012
- **Evaluation:** December 2012 –
February 2013

- **Information to coordinators:** March 2013
- **Start of negotiations:** April 2013
- **First grants to be signed:** Autumn 2013

Responsibilities

- Commission
 - Defines the programme, drafts the Call
- Research Executive Agency (REA)
 - Organises evaluation
 - Negotiates projects, draws up legal contracts
 - Monitors project progress and audits
- Programme Committee (M/S Commission-chaired)
 - Advises on work programme and proposal acceptance

Before evaluation - eligibility

A proposal is **eligible** if:

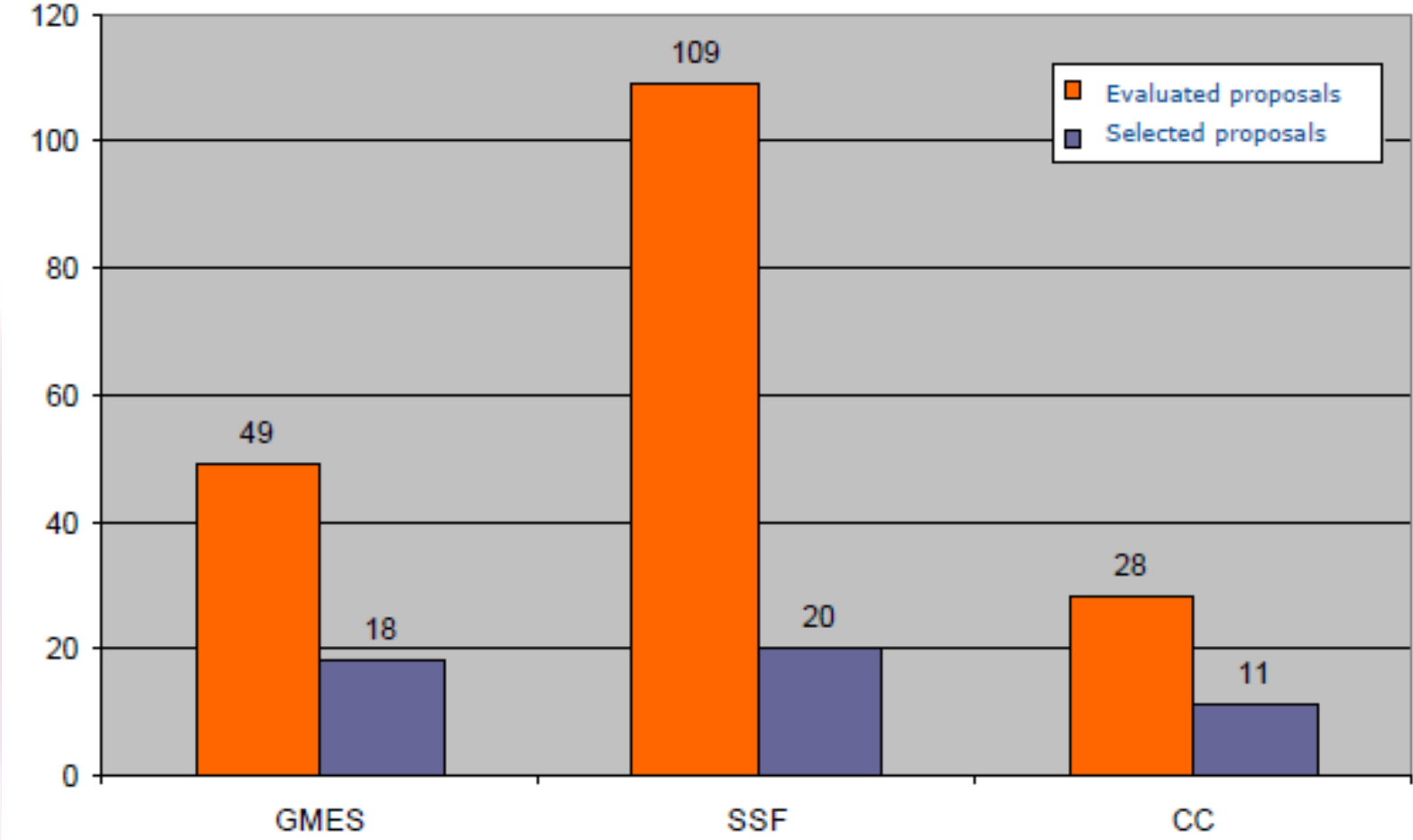


1. Received before the deadline (seconds count!)
2. Minimum conditions for participation met
 - o *At least 3 independent legal entities from 3 Member states or Associated countries*
 - o *Other conditions in the work programme met (e.g. maximum requested EU contribution respected)*
3. Complete
 - o *Requested administrative forms + proposal description (readable, accessible and printable)*
4. (At least partially) in scope of topic(s) and funding scheme

Ineligible proposals are not evaluated

Ineligibility can also be discovered later during evaluation

2012 evaluation results



Principles of evaluation

Objectivity

- Each proposal is evaluated as it is written

Accuracy

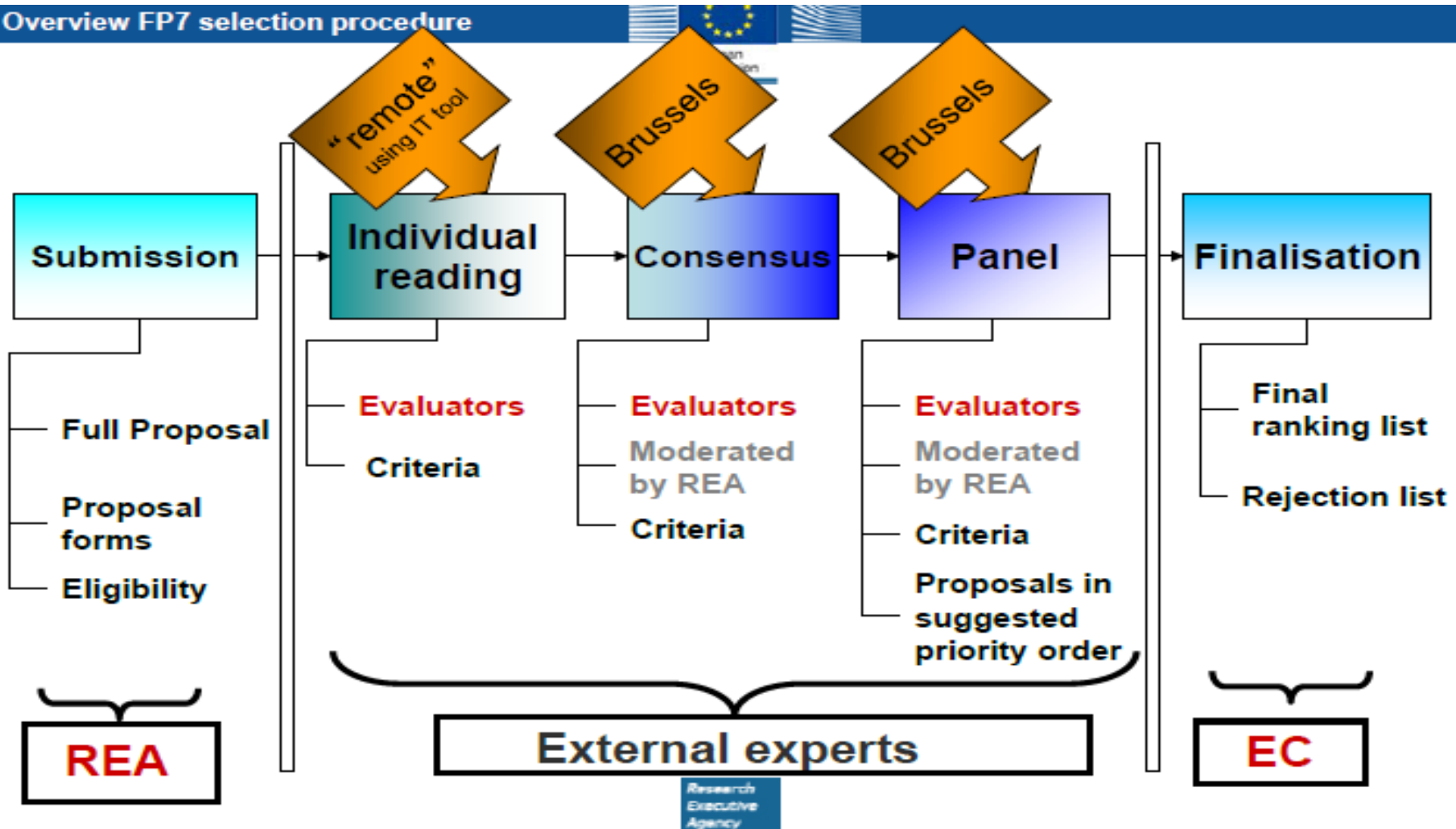
- Evaluation experts make their judgment against the official evaluation criteria, and nothing else

Consistency

- The same standard of judgment is applied to each proposal ...especially for resubmitted proposals

Evaluation process

Overview FP7 selection procedure



Evaluators

Independent experts: chosen mainly from EU Member States and associated countries, but can be from any other country (e.g.USA)

- ***Evaluators***: 3 to 5 per proposal, read and assess proposals, reach consensus
- ***Rapporteurs***: read but do not evaluate proposals, draft consensus reports, support the harmonisation process (consistency between comments and marks)

Panel of experts: examine and compare consensus reports in a given area, check consistency, recommend priority order of proposals if necessary

Moderators: REA staff moderating discussions during consensus meetings

Independent observers: assess the evaluation and report on the conduct & fairness of the evaluation

Evaluation criteria - 1

1. Scientific and/or technological Excellence

- Objectives should be focused (what do you want to achieve in this project?) and clearly linked to the call topic.
- Progress beyond the State of the Art should be up-to-date!
(be careful if resubmission...)
- Methodology and work plan: include risk analysis & contingency plans

Evaluation criteria - 2

2. Implementation & Management

- **Management structure & procedures:**
Include decision making & conflict resolution
- **Quality & relevant experience of individual participants**
- **Quality of the consortium: complementarities & balance**
- **Allocation of resources: should be explained and justified**
 - *How is the totality of the necessary resources mobilised*
 - *Will the resources be integrated in a coherent way*
 - *Is the overall financial plan adequate for the project*
- **Subcontracting: no core tasks**
 - must be described and an estimated budget provided
 - no predefined subcontractors

Evaluation criteria - 3

3. Impact, Dissemination and IPR

- **Contribution to the expected impact listed in the work programme under the relevant topic/activity**
- **Dissemination and exploitation of project results**
How this will increase the impact?
What are the targeted audiences of the activities?
- **Management of intellectual property:**
Which existing knowledge is needed for the project?
How this existing knowledge will be available to the partners?

Evaluation scores

- Each criterion scored 0-5
- Threshold for ranking 10 or more, with no score less than 3
- In 2012 Call 187 valid proposals received, 139 above threshold, 49 likely to be funded

Some FAQ's - 1

- Who can participate?
 - More or less any legal entity, including individuals
 - But there must be at least 3 participants in collaboration projects, from 3 different countries
- International partners
 - An element of the Call is reserved for projects involving specific countries (China and Ukraine this year)
 - It's always an advantage in any project to involve non-EU partners, as long as they make a genuine contribution (same applies to SME's)

Some FAQ's - 2

- Page limits guidance
 - Are in guidance – ie not legally binding; but evaluators might take into account, so best to follow the limits
- Match funding
 - EU funding is in terms of a contribution to the total eligible cost of the project, so match funding as such doesn't need to be specified

Need help?

robert.lowson@tsb.gov.uk Mobile 0797 384 0324

<https://ktn.innovateuk.org/web/fp7uk-space>

Participant Portal: <http://ec.europa.eu/research/participants/portal/>

CORDIS: http://cordis.europa.eu/fp7/participate_en.html

More background documents on

<http://ec.europa.eu/enterprise/policies/space/research>

Call-specific questions (up to 2 weeks before deadline):

rea-space-calls@ec.europa.eu

General FP7 related questions:

<http://ec.europa.eu/research/enquiries>

Specialised and technical assistance

eFP7 Service Desk: <http://ec.europa.eu/research/participants/portal/page/contactus>

EPSS Help desk: support@epss-fp7.org

IPR help desk: <http://www.ipr-helpdesk.org>

Ethics help desk: http://cordis.europa.eu/fp7/get-support_en.html

National Contact Points: <http://cordis.europa.eu/fp7/ncp.htm>



Documents

- FP7 Legal basis documents generally applicable
 - Work Programme (Call for Proposal)
 - Decision on the Framework Programme
 - Rules for Participation
 - Specific Programmes
- Legal documents for implementation
 - Rules for submission, evaluation, selection, award
 - REA grant agreement
 - Rules on verification of existence, legal status, operational and financial capacity
- Guidance documents
 - Negotiation Guidance Notes
 - Guide for Applicants
 - Guide to Financial Issues
 - Guide to IPR
 - Checklist for the Consortium Agreement
 - Templates for Description of Work
 - Ethics check list

http://cordis.europa.eu/fp7/find-doc_en.html